

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Application of: SHINOZAKI, et al.
Serial No.: To Be Assigned
Filed: Herewith
For: **ENVIROMENTAL STRESS TOLERANT PLANTS**
Examiner: David H. Kuse (Presumed)
Group Art Unit: 1638 (Presumed)

Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450


September 18, 2003

INFORMATION DISCLOSURE STATEMENT

S I R:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §§ 1.56 and 1.97, Applicant hereby submits this Information Disclosure Statement, including three (3) sheets of Form PTO-1449 for consideration by the Examiner in connection with the above-identified patent application. The documents cited in the attached Form PTO-1449 were all submitted or cited in the parent application Serial No. 09/301,217, filed on April 28, 1999, of which the instant application is a divisional and from which the present application claims priority as set forth in 35 U.S.C. § 120. Therefore pursuant to 37 C.F.R. § 1.98(d), copies of cited references are not enclosed.

Respectfully Submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By: 
Morey B. Wildes
Reg. No. 36,968

Davidson, Davidson & Kappel, LLC
485 Seventh Avenue, 14th Floor
New York, New York 10018

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	To Be Assigned
				Filing Date	Herewith
				First Named Inventor	SHINOZAKI, et al.
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
				Attorney Docket Number	382.1029DIV1
Sheet	1	of	3		

[illegible][illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 3

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	SHINOZAKI, et al.
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	382.1029DIV1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ABE, et al., "Role of Arabidopsis MYC and MYB Homologs in Drought and Absciscic Acid-Regulated Gene Expression", The Plant Cell, Vol. 9, pp.1859-1868, October 1997.	
		Abstract: Liu, Qiang, et al., Analysis of DREB Gene Encoding a Protein Binding to the cis-Element DRE which Stimulates Dehydration/Low Temperature Stress-Responsive Gene Expression in Arabidopsis Thaliana. 1998 Annual Meeting and the 38th Symposium of the Japanese Society of Plant Physiologists, May 3-5, 1998, F3a-11.	
		Abstract: Miura, Setsuko, et al., Analysis of Arabidopsis Thaliana, in which the Dehydration/Salt/Low-Temperature Stress Inducible Transcription Factor DREB1A or DREB2A is Over-expressed. 1998 Annual Meeting and the 38 th Symposium of the Japanese Society of Plant Pathologists, May 3-5, 1998, F3a-12.	
		Abstract: Shirjani, Zabta K., et al., Identification of the DREB1B Family Encoding Proteins which Bind to the Dehydration/Low Temperature Responsive Element DRE of Arabidopsis Thaliana and Analysis of Expression of the Family. 1998 Annual Meeting and the 38 th Symposium of the Japanese Society of Plant Pathologists, May 3-5, 1998, F3a-13.	
		Busk, et al., "Regulatory elements in vivo in the promoter of the abscisic acid responsive gene reb17 from maize", The Plant Journal, Vol. 11, No. 6, 1997, pp 1285-1295.	
		Jiang, et al., "Requirement of a CCGAC cis-acting element for cold induction of the BN115 gene from winter Brassica napus", Plant Molecular Biology, Vol. 30, 1996, pp 679-684.	
		Liu, et al., "Two Transcription Factors, DREB1 and DREB2, with an EREBP/AP2 DNA Binding Domain Sep. TwoCell. Signal Transduction Pathways in Drought-and Low-Temp-Respon. Gene Express., Respectively, in Arabidopsis", Aug 1998, The Plant Cell, V. 10, p.1391-1406.	
		Quellet, et al., "The wheat wcs 120 promoter is cold-inducible in both monocotyledonous and dicotyledonous species", Federation of European Biochemical Societies Letters, Vol. 423, 1998, pp. 324-328.	
		RIECHMANN et al., "Arabidopsis transcription factors: genome-wide comparative analysis among eukaryotes", Science, 15 December 2000, Vol. 290, pages 2105-2110.	
		Sambrook, et al., "Molecular Cloning, A Laboratory Manual", Second Edition, Cold Spring Harbor Laboratory Press, 1989, pp. 11.45-11.55.	
		SHINOZAKI, et al. "A Novel cis-Acting Element in an Arabidopsis Gene is involved in Responsiveness to Drought, Low-Temperature, or High-Salt Stress", The Plant Cell, Vol. 6, pp. 251-264, February 1994.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 3

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	SHINOZAKI, et al.
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	382.1029DIV1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		SHINWARI, et al. "An Arabidopsis Gene Family Encoding DRE/CRT Binding Proteins Involved in Low-Temperature-Responsive Gene Expression", Biochemical and Biophysical Research Communication 250, pp. 161-170 (1998) Article No. RC 989267.	
		Yamaguchi-Shinozaki, Kazuko; Shinozaki, Kazuo; "A Novel cis-Acting Element in an Arabidopsis Gene is Involved in Responsiveness to Drought, Low-Temperature, or High-Salt Stress", The Plant Cell, Vol. 6, 1994, pp. 251-264.	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.